



IPW

PATENTS

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

NICOLAU, ET AL.

Application No. 10/783,699

Filed: February 20, 2004

For: METHODS AND COMPOSITIONS COMPRISING  
NON-PEPTIDE SMALL MOLECULES THAT  
SOLUBILIZE THE ALZHEIMER'S A $\beta$  PEPTIDE  
FIBER

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)  
) Art Unit: 1614  
)  
) Examiner: Zohreh Vakili  
)  
) Attorney Docket No. 54341-0302  
) (13704.105005)  
)  
)  
)

TRANSMITTAL

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are papers in the above-identified application.

- ☒ Revocation and Grant of Power of Attorney; Change of Correspondence Address; Change of Attorney Docket Number; and Statement Under 37 CFR 3.73(b)  
☒ No fee is believed due. However, if deemed appropriate, the Commissioner is authorized to charge any fees to Deposit Account No. 11-0980.

Respectfully submitted,

*Shirley E. Brosmore*

Shirley E. Brosmore  
Reg. No. 56,167

KING & SPALDING LLP  
1180 Peachtree Street, N.E., 34<sup>th</sup> Floor  
Atlanta, Georgia 30309-3521  
404.572.4600 - Telephone  
404.572.5134 - Facsimile

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 15, 2007.

*Shirley E. Brosmore*  
Shirley E. Brosmore, Reg. No. 56,167



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
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NICOLAU, ET AL.	)	
	)	Art Unit: 1614
Application No. 10/783,699	)	
	)	Examiner: Zohreh Vakili
Filed: February 20, 2004	)	
	)	Attorney Docket No. 54341-0302
For: METHODS AND COMPOSITIONS COMPRISING	)	(13704.105005)
NON-PEPTIDE SMALL MOLECULES THAT	)	
SOLUBILIZE THE ALZHEIMER'S AB PEPTIDE	)	
FIBER	)	

REVOCATION AND GRANT OF POWER OF ATTORNEY;  
CHANGE OF CORRESPONDENCE ADDRESS;  
CHANGE OF ATTORNEY DOCKET NUMBER; AND  
STATEMENT UNDER 37 CFR 3.73(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Revocation/Grant of Power of Attorney**

AC Immune SA, the owner by assignment of all right, title, and interest in and to the subject application, hereby revokes all powers of attorney heretofore granted for the above-identified application and hereby appoints the practitioners associated with **Customer Number 20786** as the attorneys of record herein, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

**Change of Correspondence Address**

Please direct all future correspondence in the application to James Dean Johnson, Ph.D., at the address associated with the customer number provided above.

**Change of Attorney Docket Number**

Please reference the Attorney Docket Number **54341-0302 (13704.105005)** on all future correspondence in the application.

**Statement Under 37 CFR 3.73(b)**

Assignee, AC Immune SA, a company in Switzerland, having a place of business at EPFL-PSE Building B, CH-1015 Lausanne, Switzerland, certifies that it is the assignee of the entire right, title, and interest in the above-identified patent application by virtue of a chain of title from the respective assignor of the subject matter disclosed and claimed therein. The chain of title is as set forth below.

1. An Assignment from the inventors, Yves Claude Nicolau and Alexey Eliseev to AC Immune SA. The Assignment was recorded in the United States Patent and Trademark Office on August 13, 2004, at Reel 015678, Frame 0633. A copy of the Assignment is attached.

The undersigned is empowered to sign this Revocation and Grant of Power of Attorney on behalf of the Assignee.

Date: 12/12/06

AC IMMUNE SA

By:

  
Andrea Pfeifer, Ph.D.  
Chief Executive Officer



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 12, 2005

PTAS



\*102816722A\*

KILPATRICK STOCKTON LLP  
JOHN S. PRATT, ESQ.  
1100 PEACHTREE STREET  
STE, 2800  
ATLANTA, GA 30309-4530

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/13/2004

REEL/FRAME: 015678/0633  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 54341-0211

ASSIGNOR:  
NICOLAU, YVES CLAUDE

DOC DATE: 07/22/2004

ASSIGNOR:  
ELISEEV, ALEXEY

DOC DATE: 08/01/2004

ASSIGNEE:  
AC IMMUNE SA  
EPFL-PSE BUILDING B  
LAUSANNE, SWITZERLAND 1015

SERIAL NUMBER: 10783699

FILING DATE: 02/20/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS AND COMPOSITIONS COMPRISING NON-PEPTIDE SMALL MOLECULES  
THAT SOLUBILIZE THE ALZHEIMER'S ABETA PEPTIDE FIBER

KS Docketing

RECEIVED

Docketed for: \_\_\_\_\_

Entered on: \_\_\_\_\_

Initials: \_\_\_\_\_

Previously Entered: \_\_\_\_\_

FEB 23 2005

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

015678/0633 PAGE 2

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## **ASSIGNMENT**

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WHEREAS, we, **YVES CLAUDE NICOLAU**, a citizen of France, residing at 166 Greenwood Street, Newton, MA 02459; and **ALEXEY ELISEEV**, a citizen of the United States of America, residing at 419 Washington Street, #1, Brookline, MA 02446, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled **METHODS AND COMPOSITIONS COMPRISING NON-PEPTIDE SMALL MOLECULES THAT SOLUBILIZE THE ALZHEIMER'S AB PEPTIDE FIBER**, filed in the U.S. Patent & Trademark Office on February 20, 2004, and assigned Serial No. 10/783,699; and

WHEREAS, **AC IMMUNE SA**, a company in Switzerland having a principal place of business at EPFL - PSE Building B, 1015 Lausanne, Switzerland, is desirous of acquiring an interest in the same;

NOW, THEREFORE, for and in consideration of Five Dollars (\$5.00) and other good and valuable consideration, the receipt and sufficiency of which we hereby acknowledge, we, **YVES CLAUDE NICOLAU** and **ALEXEY ELISEEV**, by these presents do sell, assign and transfer unto **AC IMMUNE SA**, the full, exclusive and entire right, title, and interest in and to said application, in and to any divisions, continuations, and reissues thereof, and in and to all inventions and improvements disclosed and described in said application, preparatory to obtaining Letters Patent of the United States therefor; and we hereby request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States resulting from said application, or from a division, continuation,

or reissue thereof, to **AC IMMUNE SA**, as the assignee, for its interest and for the sole use and benefit of **AC IMMUNE SA** and its assigns and legal representatives;

For the same consideration, we, by these presents do sell, assign, and transfer to **AC IMMUNE SA**, the full, exclusive, and entire right, title and interest in and to any foreign application or applications corresponding to said application, in whole or in part, in countries other than the United States, in and to any Letters Patent and similar protective rights granted on said foreign applications, and in and to the right to claim any applicable priority rights arising from or required for said foreign applications under the terms of any applicable conventions, treaties, statutes, or regulations; said foreign applications to be filed and issued in the name of **AC IMMUNE SA**, or its designee insofar as permitted by applicable law.

AND, for the same consideration, we agree to sign all lawful papers, execute all division, continuing, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to aid **AC IMMUNE SA**, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in all countries throughout the world.

Dated: 7/22/04

  
\_\_\_\_\_  
**YVES CLAUDE NICOLAU**

Dated: \_\_\_\_\_

\_\_\_\_\_  
**ALEXEY ELISEEV**

Our Docket: 54341-0211 (297751)

## **ASSIGNMENT**

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WHEREAS, we, **YVES CLAUDE NICOLAU**, a citizen of France, residing at 15 Trinity Terrace, Newton, MA 02459; and **ALEXEY ELISEEV**, a citizen of the United States of America, residing at 419 Washington Street, #1, Brookline, MA 02446, have invented certain inventions and improvements disclosed in an application for Letters Patent entitled **METHODS AND COMPOSITIONS COMPRISING NON-PEPTIDE SMALL MOLECULES THAT SOLUBILIZE THE ALZHEIMER'S A $\beta$  PEPTIDE FIBER**, filed in the U.S. Patent & Trademark Office on February 20, 2004, and assigned Serial No. 10/783,699; and

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Dated: \_\_\_\_\_

\_\_\_\_\_  
**YVES CLAUDE NICOLAU**

Dated: Aug. 1, 2004

  
\_\_\_\_\_  
**ALEXEY ELISEEV**

Our Docket: 54341-0211 (297751)